

CUSTOMER NO. 41230

PATENT APPLICATION  
47171-381USPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
John E. Jones et al.

Application No.: 10/669787

Group Art Unit: 3621

Filed: September 24, 2003

Examiner: Not Yet Assigned

For: FINANCIAL DOCUMENT PROCESSING SYSTEM

**TRANSMITTAL LETTER**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 08-25-05

Signature: [Signature]  
(Margo Barbarash)

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. 2<sup>nd</sup> Information Disclosure Statement;
2. Form PTO/SB/08 - IDS (Citation) by Applicant (2 References);
3. References A1-2; and
4. Acknowledgment postcard.

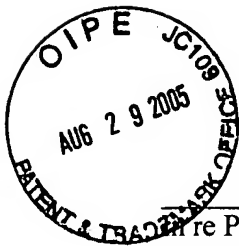
The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 47171-00381USPT.

Dated: 8/26/05

Respectfully submitted,

By [Signature]  
Andre M. Szuwalski  
Registration No.: 35,701  
JENKENS & GILCHRIST, A PROFESSIONAL  
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Attorneys For Applicant

CUSTOMER NO. 41230



Docket No.: 47171-00381USPT  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Patent Application of:  
John E. Jones et al.

Application No.: 10/669787

Confirmation No.: 6413

Filed: September 24, 2003

Art Unit: 3621

For: FINANCIAL DOCUMENT PROCESSING  
SYSTEM

Examiner: Not Yet Assigned

**2<sup>ND</sup> INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the U.S./Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 08-25-05

Signature: *Margo Barbarash*  
(Margo Barbarash)

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

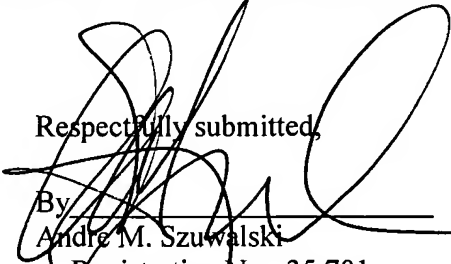
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 47171-00381USPT.

Dated: 8/26/08

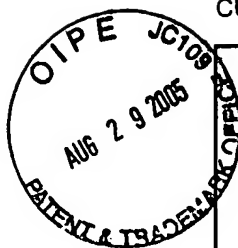
Respectfully submitted,

By   
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CUSTOMER NO. 41230

ALTERNATIVE TO PTO/SB/08a/b (06-03)



Substitute for form 1449/PTO		<b>Complete if Known</b>			
<b>2<sup>nd</sup> INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Application Number	10/669787-Conf. #6413		
		Filing Date	September 24, 2003		
		First Named Inventor	John E. Jones		
		Art Unit	3621		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	47171-00381USPT

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code <sup>2</sup> (if known)		
	A1	US-5,832,463	11-03-1998	Funk
	A2	US-5,363,949	11-15-1994	Matsubayashi

FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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